

# Do we trust social robots?

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21st September 2020

**Abstract.** Understanding human trust in machine partners has become an imperative following the widespread use of intelligent machines in a variety of applications and contexts. The aim of this paper is to study experimentally whether human-beings trust a social robot - i.e. a *human-like* robot that embodies emotional states, empathy and non-verbal communication - differently than other types of agents. To do so, we adapt the well-known economic trust-game proposed by Charness and Dufwenberg (2006) to assess whether receiving a promise from a robot increases human-trust in it. We find that receiving a promise from the robot increases the trust of the human in it, but only for individuals who perceived the robot very similar to a human-being. Importantly, we could replicate a similar pattern in choices when we replaced the humanoid counterpart with a real human but not when it was replaced by a computer-box. We additionally find that human participants' psychophysiological reaction is stronger when confronted with the humanoid.

## Introduction

Trust is considered as a social glue that connects people and promotes collective goals. It is normally defined as the "intention to accept vulnerability based on the positive expectations or beliefs regarding the intentions or behaviour of other people in general" [1]. As a consequence, behavioral science has always been interested in trust, and more particularly in its influence on decision making [2, 3]. In parallel, trust also is relevant if we want to build social artificial agents that interact alongside with

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21 people (e.g. robo-advisors, co-working robots, assistive robots, etc.) and take responsible roles in our  
22 society [4, 5]. A lesson learned from previous research and inter-disciplinary evidence (e.g. economics,  
23 neuroeconomics, psychology) is that (general) trust is deeply rooted in social experiences, being more  
24 a matter of culture than genetics [1], and highly affected by the emotional states of the individuals  
25 [6, 7, 8]. Indeed, emotions have been proven to play a fundamental role in the decision making process  
26 in general[9], as confirmed among other neuroscientists, by Damasio and colleagues in their studies  
27 [10, 11, 12, 13].

28 This stream of research thus suggests that trust and emotions are highly intertwined in the decision-  
29 making process in human-human interactions [14, 15, 16, 17], and may act as reasonable drivers in  
30 human-robot interactions as well [18]. It has been shown, for example, that not binding communic-  
31 ations (i.e. cheap talk) is beneficial not only among humans but also to achieve higher cooperation  
32 when interacting with a machine (e.g [19]). In particular, a simple conversation with a robot changes  
33 individual behaviour towards the artificial agent [4, 20]. Very similar behavioural responses can be ob-  
34 served in children [4]. More in general, increasing the anthropomorphic features and the human social  
35 skills of a technology (e.g. by adding a name or a human voice to an autonomous vehicle) increases the  
36 individual willingness to accept and trust the technology itself (e.g. [21, 22, 13]).

37 Nonetheless, while the importance of emotions in driving the choice of a human to trust another  
38 human has been highly studied, less evidence is available when the decision to trust involves the in-  
39 teraction between artificial agents and humans ([23], [7, 21]). Moreover, we know that trust is highly  
40 culturally based, and that the appearance of the robot (especially its human-likeness, see [24]) affects  
41 the emotions perceived by its interlocutors. Therefore, studies on human-robot interactions and trust  
42 should always be repeated with different robot players having different aesthetics.

43 On that premise, the present study investigates how trust in a social robot is affected by its human  
44 likeness (both in terms of aesthetics and speech content), while taking into account the emotional states  
45 of the players during the interaction through physiological signal processing. The objectives are two-  
46 fold. On the one side, we can gain insights on how human-likeness interacts with emotions to instill  
47 people’s trust in artificial agents, comparing it with that in human parterns so as to asses the differences  
48 (if any).<sup>1</sup> On the other side, we can gain a better understanding on how to design machines - both in  
49 terms of appearance and (e.g. communication) behaviour - in a way that help facilitate a fruitful inter-

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<sup>1</sup>*Integral emotions* are emotions arising from the choice at hand and strongly shapes, and possibly bias, decision making. For example, a person who feels anxious about the potential outcome of a risky choice may choose the safer option.[9] On the other hand, *incidental emotions* are by definition unrelated to the outcomes under considerations although may still cause alterations in the choice process.

50 action with humans. To this end, we present a series of experimental treatments based on a modified  
51 version of a well-known game used in behavioral economics to study trust among humans: the trust  
52 game as proposed by Berg and colleagues and adapted by Charness and Dufwenberg[25, 26]. In this  
53 game, the outcome of the interaction depends on whether the first mover (the trustor) decides or not  
54 to trust the second mover (the trustee). If the first mover decides to trust the counterpart by remaining  
55 in the game, the second mover has to decide between a choice that does not benefit the trustor but it is  
56 more beneficial for himself (i.e. provides him with the highest payoff) and a choice that benefits the trustor  
57 but provides him with a lower payoff. If the first mover decides not trust, both players get a lower  
58 outside payoff. In other words, there is a conflict of interest between the two players when remaining in  
59 the game, but both would be better off if a mutual relationship is established (i.e. the first player remains  
60 in the game). A peculiar characteristic of this game is that prior to the trustor’s choice of remaining in  
61 the game, the trustee is given the opportunity to send him a non-binding (i.e. cheap-talk) message. We  
62 rely on this game as it has been specifically conceived to assess whether receiving a message containing  
63 a promise from the opponent increases individual trust in him (her).

64 In our experiment the role of the trustor is always played by a (human) experimental subject while  
65 the role of the trustee is played by three different types of players: a humanoid robot with high human-  
66 likeness (*FACE*, Fig. 1), a human counter-part (*Human*, Fig. 1) or a computer-box machine (*Computer-*  
67 *Box*, Fig. 1). In all cases, we compare the trustors’ choices when the trustee sends a generic message  
68 - not including any type of promise (i.e. an ‘empty’ message) - with the trustors’ choices when the  
69 trustee sends instead a message containing a promise. Specifically, to generate the messages from the  
70 robot, we rely on real sentences that occurred between human participants in the experiment of Char-  
71 ness and Dufwenberg[25], and were therein classified either as empty or promising. Finally, to monitor  
72 the emotional states of our participants, in all sessions we analyzed two of the most widely used auto-  
73 matic nervous system correlates, such as pulse rate variability and electrodermal activity, which are well  
74 known to contain information about affective state of a subject.[27]

## 75 1 Experimental design

76 In the experiment we use the trust game proposed by Charness and Dufwenberg [25], which is depicted  
77 in Figure (2). There are two players: A (the trustor) and B (the trustee). Player-A chooses between two  
78 options, *In* and *Out*. If Player-A chooses *Out*, the game ends and each player wins 5 Euro. If Player-A  
79 chooses *In*, then Player-B has to choose between two options, *Roll* or *Don’t Roll*. If he chooses *Don’t Roll*,

Figure 1: THREE TYPES OF PLAYER-B



80 then he wins 14 Euro while Player-A earns 0. If he chooses *Roll*, Player-A wins 0 Euro with probability  
81  $1/6$  and 12 Euro with probability  $5/6$ , while Player-B wins 10 Euro in any case.

82 From an economic point of view, for Player-B it is better if Player-A chooses *In*, while for Player-A  
83 choosing *In* is convenient only if B chooses *Roll*. The main characteristic of this game is that when Player-  
84 A wins zero Euro, it is not possible for Player-A to infer with certainty whether Player-B has chosen  
85 either *Roll* or *Don't Roll*. This game thus reflects (as many other experiments in economics) real-world  
86 situations where it is not possible to perfectly observe the behaviour of a partner that can be delegated  
87 to make relevant payoff decisions. In this experiment, the type of Player-B (i.e., the trustee) changes  
88 across treatments, while Player-A is always a human participant. In particular, the role of Player-B is  
89 played by either a humanoid (FACE), a computer-box or a human. Regarding the message Player-B  
90 sends to Player-A, it can be of two kinds: a message containing a promise to roll the dice (*promising*),  
91 and a generic message (*empty*). In particular, we select messages from the original study of Charness  
92 and Dufwenberg[25] (as available on their Supplementary material in the online Appendix). To further  
93 check whether the length of messages affects individual choices, for each type of message (i.e. promising  
94 and empty), we specifically select two short (less than 10 seconds) and two long (more than 10 seconds)  
95 messages. Thus, we have a  $3 \times 2 \times 2$  design. Treatments are illustrated in Table (1), and an English trans-  
96 lation of the instructions is available at the end of the paper. In FACE treatments, the role of Player-B  
97 is played by FACE, i.e. a hyper-realistic humanoid robot with the aesthetic of a woman (see Figure 1)

Figure 2: THE GAME

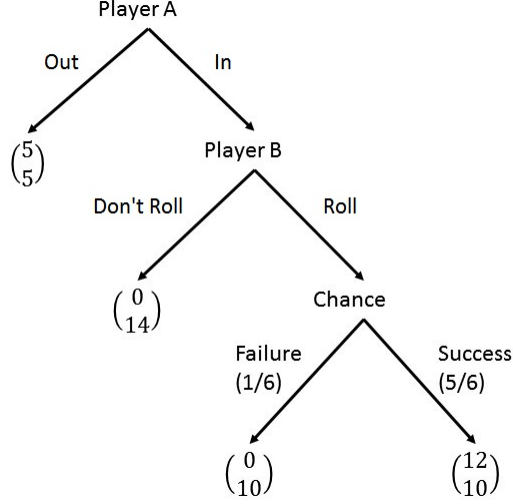


Table 1: TREATMENTS

This table classifies the number of observations collected in our study according to the type of counterpart the human participants confront with (i.e. Computer-box, Human, and Humanoid) and the type of sentence they have to listen to (i.e. containing a promise or not, either a short or long sentence).

|                 | Empty |      |       | Promising |      |       | Grand Total |
|-----------------|-------|------|-------|-----------|------|-------|-------------|
|                 | Short | Long | Total | Short     | Long | Total |             |
| Computer- box   | 12    | 19   | 31    | 20        | 13   | 33    | 64          |
| Human           | 16    | 10   | 26    | 14        | 8    | 22    | 48          |
| Humanoid (FACE) | 15    | 10   | 25    | 16        | 9    | 25    | 50          |
| Total           | 43    | 39   | 82    | 50        | 30   | 80    | 162         |

that due to its perceptive, reasoning and expressive capabilities, constitutes a sophisticated observation platform to study what happens when human and machine establish empathic links ([28]). However, although it has been shown that computer agents can use the expression of emotion to influence human perceptions of trustworthiness, we do not rely on FACE's ability of showing emotional information through facial expressions in order to isolate only the effect of human-likeness and promise in influencing the emotional state of our participants, as well as their choices. In the *Computer-Box* treatments, the role of Player-B is played by a light-emitting audio-box reproducing the same audio-sentences and taking decisions in the same way as in FACE. Importantly, both in FACE and *Computer-Box* treatments, the artificial agent has its own cognitive system with its perception analysis and architecture, i.e. the

so-called Social Emotional Artificial Intelligence (SEAI).<sup>2</sup> This framework allows the social scenario to be acquired and to influence the parameters which correspond to the ‘mood’ of the artificial agent (see [29]). Specifically, in this experiment, due to SEAI, the artificial agent benefits from its own artificial emotions for choosing whether to *Roll* or *Don’t Roll* (see the Appendix for more information about how the robot takes a decision). More importantly, the participants in this experiment are aware that the artificial agent (like the human counterpart) is able to take its decision autonomously, i.e. not randomly but following its own behavioural rules, and therefore the results of game interaction is not determined by chance only.

In the *Human* treatments, the role of Player-B is played by the same professional actress who gave her voice for recording FACE/Computer-Box’ audios. The actress is free to autonomously decide her choices in the game, i.e. *Roll* or *Don’t Roll*, being paid accordingly, but she has no room to decide which sentences to state that have to be exactly the same ones, and in the same identical order, as the ones pronounced in *FACE* and *Computer-Box*. Moreover, the actress is instructed to avoid any facial expressions during the interaction with a participant, and has to wear FACE’s hairs and dresses. Similarly, she has to follow the same experimental procedure as in the Computer-Box and FACE treatments (see the Appendix for details).

To investigate the psychophysiological state of Player-A while taking the decision, in all sessions the participants wear a wearable device on their left wrist (a sensorized bracelet called ‘Empatica’<sup>3</sup>) for the real-time collection of physiological data, such as PRV and EDA. XXX The processing of these two signals allows us to characterize the ANS activity of Player-A and infer about his (her) psychophysiological states. In particular, two indexes were computed to quantify the sympathetic nervous system activity (i.e. the EDAsymp index) and the symphthovagal balance (i.e. EDAHFnu index). In Appendix we describe in details how we computed these two indices.

At the end of the experiment, participants has to fill in a questionnaire asking information about how they perceive Player-B, as well as information about their individual characteristics, such as age, gender, and field of studies. In particular, as Nitsch and Glassen,[20] participants has to rate on 7-likert scale how much they perceive Player-B as a human (i.e. the human-likeness, where 0 means non-human at all and 7 means totally human) and how much they perceive Player-B as a machine (i.e. the machine-likeness) . We also ask participants to rate how much they believe their behaviour has affected Player-B’s choiceand to make a guess about Player-B’s choice (Roll/Don’t roll). Finally, we elicit their

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<sup>2</sup>The only exception being the actuation control (i.e. commands to induce movement and facial expressions), which is obviously different.

<sup>3</sup><https://www.empatica.com/>

Table 2: TYPE OF MESSAGES

| TYPES     | # PHRASES | # SECONDS | PHRASES                                                                                                                                                                                                                                                                                                                                                     |
|-----------|-----------|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Empty     | 2         | <10       | - 'Good luck!'<br>- 'Please choose IN, so we both earn more money.'                                                                                                                                                                                                                                                                                         |
|           | 2         | >10       | - 'If you stay IN, the chances of the die coming up other than 1 are 5 in 6 – pretty good. Otherwise, should you choose OUT we'd both be stuck at 5 Euro.'<br>- 'Good luck on your decision. Choose whatever. If you choose "out", you get only 5 Euro more. If you choose "In" you can get 12 Euro instead of only 5 Euro. 7 Euro more is a lot of money!' |
| Promising | 2         | <10       | - 'I will roll the dice'<br>- 'Choose In and I will Roll. You have my word.'                                                                                                                                                                                                                                                                                |
|           | 2         | >10       | - 'Choose in, I will roll dice, you are 5/6 likely to get 2,3,4,5, or 6 and win 12 Euro. This way both of us will win something.'<br>- 'Choose in and I will roll. That way, we'll both get extra money.'                                                                                                                                                   |

This table reports 8 sentences that occurred between human participants in the study of Charness and Dufwenberg (2006) and were selected in our study. 4 out of 8 sentences were classified as short (i.e. they last less than 10 seconds) and empty (i.e. they did not contain any type of promise to roll the dice).

technological affinity by an ATI scale as in Franke and coauthors[30] and measure their individual risk ambiguity with an INTRA tests (see [31]).

The experiment has been conducted from the end of July till October 2019, and 162 randomly invited participants out of a pool of more than 1500 students coming from all departments of the University of Pisa (91 students were female and 72 male with no substantial difference across treatments).

## 2 Results

We start analyzing how participants rated the different types of player-B as a human and a machine, as well as their technological affinity. In Table (3) we report the average of these variables by type of Player-B. Note that in the following, we denote with  $p_p$  the one-sided p-value for a test for proportions, with  $p_t$  the one-sided p-value for a t-Student test, and with  $p_{perm}$  the one-sided p-value for a test with 500 data permutations). If we compare how much individuals rated Player-B as a human, we observe that *Human* is ranked higher than *Face* (mean diff=1.49,  $p_t=0.000$ ), and *Face* is ranked higher than *Computer-box* (mean diff=0.87,  $p_t=0.007$ ). Moreover, if we look at how participants assessed Player-B as a machine, we consistently find that *Face* ranked higher than *Human* (mean diff=2.03,  $p_t=0.000$ ) and lower than

Table 3: PARTICIPANTS' PERCEPTION AND TECHNOLOGICAL AFFINITY

For each type of player-B, this table reports the average values of variables measuring on a scale from 0 to 7 human-likeness, machine-likeness and technological affinity (ATI scale as in [30]).

|                     | Human-likeness | Machine-likeness | ATI  |
|---------------------|----------------|------------------|------|
| <i>Human</i>        | 4.96           | 3.60             | 4.84 |
| <i>FACE</i>         | 3.46           | 5.64             | 5.08 |
| <i>Computer-Box</i> | 2.59           | 5.93             | 4.98 |
| Total               | 3.56           | 5.15             | 4.97 |

*Computer-box* (although not significantly). It is important to remark that we ask our participants to give the same rating also to the human (actress) counterpart as her behaviour is not entirely natural, as she has to avoid any additional interactions as well as any facial expression during the game. We do not find any significant difference in technological affinity between participants in the different treatments.

The main results are summarized in Table (4), which reports the relative frequencies of choice 'In' made by participants (acting as Player-A) by treatments and human-likeness. Specifically, for each type of Player-B, we categorize the level of human-likeness as Low when the participant rating is in the lower side of the distribution on the 7-likert scale), and High otherwise. Note that we pool the data regarding the length of the message, since it does not significantly affect the decisions to play 'In' in any scenario.

We first compare the results according to the type of Player-B. We note that the frequency of choice 'In' is significantly lower when player-B is a Human than when player B is either FACE (0.60 vs 0.80, mean diff=-0.20,  $p_p=0.030$ ,  $p_{perm}=0.016$ ) or a Computer-box (0.77, mean diff=-0.17,  $p_p=0.066$ ,  $p_{perm}=0.016$ ). There is no significant difference between FACE and Computer-box.

Regarding the effect of receiving a promise (vs. receiving an empty message), we do not find any significant effect on the frequency of choice 'In' looking at each type of player-B separately. However if we distinguish by human-likeness, we find significant effects of receiving a promise. Specifically, when Player-B is Human and human-likeness is high, the frequency of choice 'In' is significantly higher when a promise is received (0.86 vs 0.53, mean diff=0.33,  $p_p=0.030$ ,  $p_{perm}=0.018$ ). A similar, but only weakly significant, effect is found when Player-B is FACE and human-likeness is high (1 vs 0.85, mean diff=0.15,  $p_p=0.097$ ,  $p_{perm}=0.000$ ).

We now delve into the effects of human-likeness for each type of Player-B. To begin with, we observe that if participants assigned a high human-likeness to Player-B, the probability of choosing 'In' is significantly higher than those who assigned it a low human-likeness when Player-B is either FACE (0.91 vs 0.70, mean diff=0.21,  $p_p=0.033$ ,  $p_{perm}=0.010$ ) or Human (0.69 vs 0.47, mean diff=0.22,  $p_p=0.067$ ,  $p_{perm}=0.032$ ). There is no significant difference when Player-B is a Computer-box. Furthermore, if

Table 4: RELATIVE FREQUENCIES OF ‘CHOICE IN’ BY TREATMENT AND HUMAN-LIKENESS

|              |           | Human-likeness |              | Total        |
|--------------|-----------|----------------|--------------|--------------|
|              |           | Low            | High         |              |
| FACE         | Empty     | 0.67<br>[12]   | 0.85<br>[13] | 0.76<br>[25] |
|              | Promising | 0.73<br>[15]   | 1<br>[10]    | 0.84<br>[25] |
|              | Total     | 0.70<br>[27]   | 0.91<br>[23] | 0.80<br>[50] |
| Human        | Empty     | 0.55<br>[11]   | 0.53<br>[15] | 0.54<br>[26] |
|              | Promising | 0.37<br>[8]    | 0.86<br>[14] | 0.68<br>[22] |
|              | Total     | 0.47<br>[19]   | 0.69<br>[29] | 0.60<br>[48] |
| Computer-Box | Empty     | 0.71<br>[21]   | 0.80<br>[10] | 0.74<br>[31] |
|              | Promising | 0.79<br>[19]   | 0.79<br>[14] | 0.79<br>[33] |
|              | Total     | 0.75<br>[40]   | 0.79<br>[24] | 0.77<br>[64] |

This table reports the relative frequencies of (i.e. the share of participants) choosing ‘IN’ for each treatment by human-likeness. Human-likeness is Low when the participant rating is in the lower side of the distribution on the 7-likert scale, and High otherwise. The number of observations are in squared brackets.

we further distinguish between the group of participants who received a promise from those who received an empty message, we observe that, when Player-B is FACE, the effect of higher human-likeness is significant only among those who received a promise (1 vs 0.73, mean diff = 0.27,  $p_p=0.037$ ,  $p_{perm}=0.000$ ). A similar result is observed when Player-B is Human (0.86 vs 0.37, mean diff= 0.49,  $p_p=0.010$ ,  $p_{perm}=0.002$ ). Overall, we can conclude that the choice to trust FACE is significantly related to the way a participant perceived it as a human. If a participant recognises FACE very similar to a human being, the probability that he will choose ‘In’ increases. We find that this effect is mainly driven by those participants who received a promise.

If we attend to the emotional reaction of the participants (using the two indices EDAsymp and EDAHFnu computed by the physiological data recorded during the experiment, see Tab 5), we find a significantly higher reaction when Player-B is FACE than when Player-B is either Computer-box (0.724 vs -0.211, mean diff<sub>EDAsymp</sub> = 0.935,  $p_t=0.016$ ,  $p_{perm}=0.008$ ; 2.837 vs -0.107, mean diff<sub>EDAHFnu</sub> =2.944,  $p_t=0.053$ ,  $p_{perm}=0.050$ ) or Human (0.724 vs -0.186, mean diff<sub>EDAsymp</sub> = 0.909,  $p_t=0.056$ ; ,  $p_{perm}=0.074$ ; 2.837 vs 0.747, mean diff<sub>EDAHFnu</sub> =3.584,  $p_t=0.063$ ,  $p_{perm}=0.068$ ). Furthermore, when Player-B is FACE, we find that subjects who rated Player-B high in human-likeness are more likely to experience a stronger emotional reaction than participants who rated it low (1.731 vs -0.129, mean diff<sub>EDAsymp</sub>=-1.859,  $p_t=0.017$

Table 5: PHYSIOLOGICAL DATA: EDASYMP AND EDAHF\_NU

| Index   | Human-likeness | Box    | Human  | FACE   |
|---------|----------------|--------|--------|--------|
| EDAsymp | LOW            | -0.144 | -0.288 | -0.129 |
|         |                | [28]   | [9]    | [26]   |
|         | HIGH           | -0.327 | -0.128 | 1.731  |
|         |                | [16]   | [16]   | [22]   |
|         | Total          | -0.211 | -0.186 | 0.724  |
| EDAHFnu | LOW            | -0.175 | -2.173 | 0.275  |
|         |                | [28]   | [9]    | [26]   |
|         | HIGH           | 0.012  | 0.055  | 5.865  |
|         |                | [16]   | [16]   | [22]   |
|         | TOTAL          | -0.107 | -0.747 | 2.837  |

The EDAsymp index quantifies the activity of the sympathetic nervous system, while the EDAHFnu index quantifies the symphovagal balance. A full description is available in the Appendix. Human-likeness is Low when the participant rating is in the lower side of the distribution on the 7-likert scale, and High otherwise. The number of observations are in squared brackets.

,  $p_{perm} = 0.000$ ; 5.865 vs 0.275  $_{EDAHFnu} = -5.590$ ,  $p_t = 0.009$ ,  $p_{perm} = 0.000$ ; ). We do not find a similar effect when Player-B is Human or Computer-box. Finally, we note that the psychophysiological reaction of subjects rating FACE high in human-likeness is significantly higher than that experienced by subjects interacting either with Computer-box or Human, regardless of the rating of human-likeness. Regarding the relationship between the emotional reaction of participants and their choices, we do not find any significant correlation using the two indices EDAsymp and EDAHFnu. However, if we split our participants into two groups according to whether they express a stronger (or weaker) psychophysiological reaction that the median level of the distribution of EDAsymp, we can observe that those who experienced a stronger reaction are also less likely to choose IN in both Computer (0.636 vs 0.909, mean diff=0.273, and  $p_p = 0.015$ ) and Human (0.462 vs 0.750, diff=0.288, and  $p_p = 0.070$  see Table 6).

Finally to study the interaction between human-likeness and psychophysiological reaction of our participants we conduct a probit analysis for the probability of playing 'In' using as a set of regressors player human-likeness and EDAsymp dummy, along with a dummy for each treatments. Results are report in Figure (3). As this figure highlights increasing the psychophysiological reaction from a low one to a high one reduces the probability of playing 'IN'. However, increasing the level of human-likeness counterbalance this negative effect, especially in Face and in Computer-box.

### 3 Discussion and conclusion

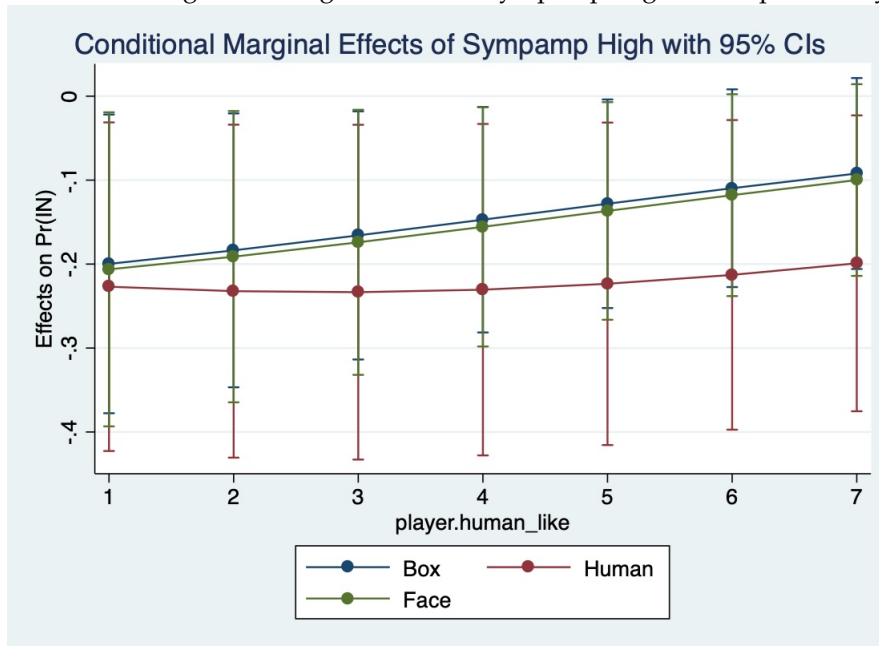
In our experiment participants were confronted with a counterpart which differed in the degree of human-likeness: a light-emitting computer-box, a female humanoid and a human female (which resembled the humanoid). The participants needed to decide - after listening to a message from the coun-

Table 6: RELATIVE FREQUENCIES OF 'CHOICE IN' BY PHYSIOLOGICAL STATE AND HUMAN-LIKENESS

|              |       | EDAsymp       |               | Total         |
|--------------|-------|---------------|---------------|---------------|
|              |       | High          | Low           |               |
| FACE         | High  | 0.916<br>[12] | 0.900<br>[10] | 0.909<br>[22] |
|              | Low   | 0.667<br>[12] | 0.714<br>[14] | 0.692<br>[26] |
|              | Total | 0.792<br>[24] | 0.792<br>[24] | 0.792<br>[48] |
| Computer-Box | High  | 0.667<br>[7]  | 0.857<br>[9]  | 0.750<br>[16] |
|              | Low   | 0.616<br>[15] | 0.933<br>[13] | 0.786<br>[28] |
|              | Total | 0.636<br>[22] | 0.909<br>[22] | 0.770<br>[44] |
| Human        | High  | 0.500<br>[8]  | 0.875<br>[8]  | 0.686<br>[16] |
|              | Low   | 0.400<br>[5]  | 0.500<br>[4]  | 0.444<br>[9]  |
|              | Total | 0.462<br>[13] | 0.750<br>[12] | 0.600<br>[25] |

Each cell represents the frequencies of choice 'In' within each category. An individual is classified in EDAsymp High whenever is above the median level of the EDAsymp distribution, and EDAsymp Low otherwise. Human-likeness is Low when the participant rating is in the lower side of the distribution on the 7-likert scale, and High otherwise. The number of observations are in squared brackets.

Figure 3: Marginal effect of Sympamp High on the probability of playing 'In'



terpart, containing in half of the cases a promise - whether to trust or not their opponent in the game. We find evidence that a human receiving a promise from a humanoid has more trust in it only when he (or she) perceived the artificial agent very similar to a human-being. Indeed, if we replace the social robot by a human we find a similar pattern. However, replacing it by the computer -box the effect of receiving a promise disappears. We also find that participants experienced a stronger psychophysiological reaction when confronted with a humanoid, especially if it appears to them very close to human. Moreover, we observe that those participants expressing stronger psychophysiological reaction are less likely to trust the counterpart when this is either a computer-box or a human (i.e. choose more often the safer option).

Taken all together, these results suggest that human-likeness and (integral) emotions play both an important role in the decision to trust the counterpart, possibly in interaction with each other. However, some remarks are in order. While in this experiment we can fully control for the degree of human-likeness by varying it across treatments, we have less control of the type of emotions experienced by our subjects. Although physiological measures such electrodermal activity (EDA) have been used over 100 years for representing emotional arousal, and most scholars accept a physiological component in the definition of emotions, it is not possible to directly match the physiological state of a participant with a direct type of emotion (e.g. fear or anxiety). In addition, as the literature on emotion arousal highlights there might be individuals exhibiting different physiological responses to the same emotional state[32]. Therefore, our results can only suggest a greater or a weaker 'emotional arousal' without giving any insights on the type of emotions proved by our participants.

Nevertheless, the vast psychological literature on emotions and decision-making offers us an interesting framework to interpret our results. In particular, recent evidence from laboratory experiments is mostly consistent with the Appraisal-Tendency Framework according to which emotions change individuals' appraisal of a situation, thereby affecting individual choices[9, 33]. Importantly, in that framing, emotions of the same valence (such as fear and anger) can exert opposing influences on choices. Thus, what matters is whether an emotion (either positive or negative, strong or weak) by leading to a more cautious appraisal of the situation reduces the feeling of control, e.g. thereby reducing the willingness to take risks. Therefore, even if we are not able to disentangle among different types of emotions, we can reasonably assert that in our framework, whenever the experience of a stronger emotional arousal lead a participant to a more cautious appraisal of the counterpart, we observe a more careful assessment of the situation and a lower willingness to take risk and trust the counterpart. This interpretation of our results is also consistent with previous research showing that participants with ventromedial prefrontal

cortex (a key area of the brain for integrating and integrating emotion and cognition) repeatedly select a riskier financial option over a safer one, even to the point of bankruptcy, despite their understanding of the suboptimality of their choices. In particular, their physiological measure of skin response suggests that they did not experience the emotional signals (i.e. the somatic markers) that lead normal decision makers to fear high risks[9].

Overall, these results strongly support the efforts in developing technologies enhancing the humanity of social robots, both in terms of human appearance and communication behaviour. Indeed, if from one-side it is not possible to control for human emotions, our results - in line with recent studies [21, 22] - suggest that increasing the human-likeness of an artificial agent increases sensibly the likelihood that a human counterpart will trust it. At the same time, the analysis we conducted opens an interesting question about the role of specific emotions, also over the longer time-horizons, that we are not able to fully disentangle in our simple one shot-game.

To conclude, we see several directions for future interdisciplinary research. The first one is to explore different types of human-robot interactions, for example prisoner dilemma games, coordination games or repeated interactions (e.g. by replicating the analysis of Crandall and co-authors with a social robot [19]). The second direction of research is on the side of the social robot. It would be very interesting to introduce - within standard experiments in economics - the behavior of people interacting with a robot that can also additionally adapt its facial expression, as well as the mode of communication, to the perceived emotions of the human counterpart.

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## 4 Methods

### 4.1 The FACE Robot and the SEAI Cognitive System

The FACE robot (Facial Automaton for Conveying Emotions) is a humanoid with hyper-realistic adult female aesthetics, specifically designed for social robotics [34]. It is composed with a passive body on the top of which a Hanson Robotics’ head has been mounted. The head is designed to host 32 servomotors that guide the neck of the robot, its eyes, mouth, and facial expression. The face of the ginoid is made of Frubber<sup>4</sup>, a registered material with skin-like mechanical and aesthetical features. This hardware is controlled by SEAI (Social Emotional Artificial Intelligence), a distributed control architecture made of perception, cognitive and actuation systems, that endow the robot with expressive and communicative capabilities [29], including also the possibility to emulate verbal communication following prerecorded audio files<sup>5</sup>. SEAI is a bio-inspired architecture based on neuroscientific theories of mind. In particular, it has been inspired by the findings of Antonio Damasio and it is consistent with the computational

<sup>4</sup><https://patents.google.com/patent/US7113848?q=frubber>

<sup>5</sup>The audio files used for the experiment have been recorded using the voice of a professional actress, the same who interpreted the role of Player-B in the interactions with the real person; the sentences were the Italian translation of the sentences between the Charness trust game players.

formalization made by [35]. In its development, the influence of emotions in the decision-making process has been of primary importance. The perception part of the system is the Scene Analyzer, an audiovisual perception system conceived to analyze a social environment using the robot sensors and to extract meaningful social cues from these available data. Features that can be extracted from a human interlocutor are, e.g., the three dimensional position of 25 joint coordinates, their speaking probability, meaningful postures and gestures, estimated facial expressions, age and gender [36]. This Social Perception System has already been successfully integrated with the acquisition of physiological parameters (i.e., electrodermal activity, respiration rate and heart rate variability) in past experiments (see [37]). All the environmental information analyzed by the perception system of the robot is then processed by its cognitive system, i.e., the I-CLIPS Brain [38], a rule-based expert system written in CLIPS language [39]. The knowledge base of the expert system is written by means of IF-THIS-THEN-THAT rules, where each rule contains a set of actions that will be executed if several conditions about the upcoming factual information are satisfied. Thanks to these rules it is possible to design the behavior of the humanoid. For example, a particular expression gathered in its interlocutor can lead to the trigger of a sentence or a facial expression performed by the robot, but also to the modification of the robot's internal values. In fact, SEAI includes emotional internal values (i.e., valence and arousal), which combination describes an emotional state, here defined as *mood*. This method of representing emotion is based on the well-known Russell's Circumplex Model of Affect [40]. In the case of the robot, mood is not necessarily externalised by perceivable movements, rather it is implied in biasing the chaining of the rules, and so, the decision tree of the robot. Emotion biasing decision in this cognitive system has been previously tested (see [41]). The instructions coming from the cognitive block about the emotion to be expressed through facial expression (v,a values), the sentence to say, and the point to look at, are merged and continuously executed thanks to the actuation system, which translate them in movements performed by the motors that drive the face, the mouth and the neck of the humanoid [42]. Furthermore, the SEAI architecture is completely modular and portable, all the blocks composing the framework are stand-alone applications that process a limited set of information. These modules are distributed in a local net of computers that communicate by means of the YARP middleware <sup>6</sup>. This implies that each module can be activated or deactivated, and that the perception and cognitive systems can be used also without controlling the FACE Robot. As a result, we were able to use exactly the same rules engine in the computer box case, simply disabling the actuation part of the system that control the robot, and using instead the bluetooth speaker, presented as a smart computer box, actually running the same perception and actuation system

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<sup>6</sup><https://www.yarp.it/>

of the robot. This led to a very close and controlled comparison.

## 4.2 How the robot takes a decision, the Rules Engine

In this experiment, the robot (as well as the computer box) decides whether to *Roll* or *Don't Roll* according to its emotional state and following its decision rules. In particular, a positive mood in SEAI (i.e., an emotional state with positive valence) will lead the robot to be collaborative with the human player and play *Roll*; while a negative mood in SEAI (i.e., an emotional state with negative valence) will lead the robot to play *Don't Roll* (see Figure 5). The decision is taken at the end of the interaction with Player-A, when the subject goes out of the room, and so out of the field of view of the robot.

If in the moment in which the robot has to take a decision, it is in a qualitatively neutral mood ( $v=0$ , regardless the arousal), the decision will be taken randomly (50%). Participants' behavior during all the time spent alone in the room with the robot, once observed by the Scene Analyzer and processed in SEAI, act as an input modifying the parameters of the robot which correspond to its 'mood', thus in turn affecting its course of action (i.e., its final decision). However, in this experiment, at each interaction with a new participant the robot always resetted its internal values at the «neutral emotional state» (which corresponds to  $v = 0, a = 0$  in the graph). In conclusion, thanks to SEAI the robot was completely autonomous, by means of the rules everything was pre-programmed and automatized, starting from the rules that use perceived social cues to modulate the emotional state of the robot, to other rules determining which sentence it has to say, when to start and to end a treatment, and the storage of all the data acquired with timestamps in a structured dataset. The complete code of the rules engine is available in appendix A.

## 4.3 Experimental procedure

Each participant arrives in the laboratory and enter a room in which (s)he read and sign the consent to participate in the study. The participant then sits in front of a computer screen where (s)he can read autonomously the experiment instructions and fill in some preliminary questions about their attitudes towards the technology. Once the time dedicated to this part has expired, the participant is lead by the experimenter to another room where the robot is located. The participant seats on chair, always located at the same distance from the robot, and when is ready to start the experiment has to rise his hand. At this point, the robot welcomes the participant with a standard sentence ('Nice to meet you! Let's start') to then state one random sentence out of 8 (according to the treatment, see again Table 1). The robot

490 then tell the participant a standard final sentence, inviting the participant to enter his(her) choice in the  
491 computer in front of the participant. The robot cannot observe though the choice the participant has  
492 made. To conclude the experiment, the participant has to return to the initial room, to complete an exit  
493 questionnaire about the interaction of the robot, and receive the final payment.

#### 494 **4.4 Description and analysis of Physio data**

495 Pulse rate variability (PRV) and electrodermal activity (EDA) signals are directly modulated by the  
496 autonomic nervous system (ANS) activity and, therefore, are considered ideal non-invasive physiolo-  
497 gical signals to investigate the ANS dynamics. Indeed, the ANS plays a crucial role in the processing of  
498 the emotional response, mental fatigue and workload [43, 44, 45]-

##### 499 **4.4.1 EDA processing**

500 The EDA signal measures the activity of eccrine sweat glands on the hand surface. Since sweat glands  
501 are directly innervated by the sympathetic branch of the ANS (and in particular the sudomotor nerve),  
502 the EDA analysis is considered one of the best ways to monitor the sympathetic activity. EDA is con-  
503 sidered as the superposition of two main components, phasic and tonic, which differ for their time scales  
504 and relationships with the external stimuli [46]. In this study, we adopted the well-known cvxEDA  
505 model [47] to decompose the EDA signal and extract informative and effective features from both the  
506 phasic and tonic signals.

507 Specifically, EDA algorithm based on Bayesian estimation and convex optimization provides a de-  
508 composition of the EDA robust to noise, and enables the estimation of the neural bursts of the sudomo-  
509 tor nerve activity (SMNA), providing a window on the sympathetic nerve activity.

510 After the application of the cvxEDA model, we extracted some features in order to quantify the activ-  
511 ity of the sympathetic nervous system. Particularly, we calculated the frequency of the SMNA peaks  
512 and the sum of all amplitudes within each window (EDA\_AmpSum), whereas, from the slow-varying  
513 tonic component, we computed the mean value (MeanTonic). Moreover, we estimated the power spec-  
514 trum within the frequency range of 0.045 and 0.25Hz (EDAsymp), which has been demonstrated to be  
515 strongly correlated to the sympathetic nervous system activity [48].

#### 4.4.2 ECG processing

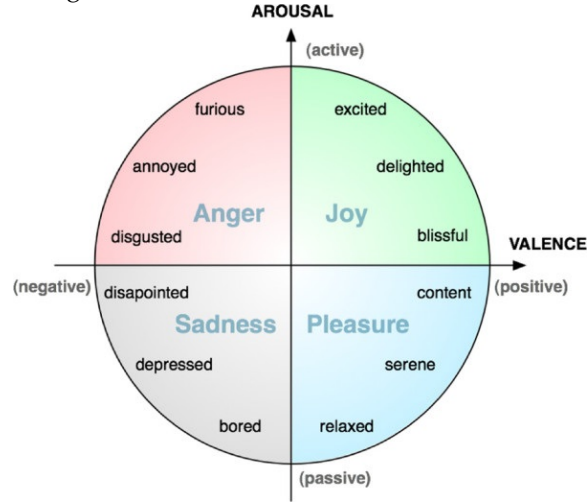
The interbeat interval series (IBI) (were acquired throughout the entire experiment for each participant. Two sessions of twenty seconds of movement-artifact-free IBI series were extracted from each recording: the first localized during the experiment instruction reading, and the second during the period when the participant was in front of the robot/actress/box.

A total amount of eighteen features was extracted from IBI series, in the time and frequency domains [49], and applying nonlinear methods taken from the phase space reconstruction theory [50]. Considering the time-domain, the following four features were calculated from each IBI series lasting twenty seconds [49]: the mean value of IBI segments and their standard deviation (IBI mean and IBI std), the root mean square of successive IBI interval differences (RMSSD), and the relative number of successive IBI sample pairs that differ more than 50 msec, expressed as a percentage of the total number of IBIs (pNN50). PRV signals were computed from IBI series using a sampling frequency of 4 Hz.

Frequency domain analysis consisted in the extraction of eight features from the Power Spectral Density (PSD) related to each PRV signal [49]. Two main spectral bands were considered: low frequency (LF) band (ranging between 0.04 and 0.15 Hz), and high frequency (HF) band (from 0.15 to 0.4 Hz). The following features were calculated: the power values in LF and HF band (LF power and HF power), the power in LF band and HF band normalized to the sum of LF and HF power (LF nu and HF nu), the power in LF band and HF band expressed as percentage of the total power (LF % and HF%), and the ratio between LF power and HF power (LF/HF).

Two entropy algorithms were implemented by using the IBI series, i.e., Fuzzy entropy (FuzzyEn) [51, 52, 53] and Distribution entropy (DistEn) [54, 55, 56]. The first was used to investigate the irregularity of IBI series and the second to quantify spatial complexity of the related attractors in the phase space. Furthermore, five features were extracted to quantify the shape of Poincaré map obtained plotting the lagged IBI interval series,  $IBI_{n+1}$ , against the series  $IBI_n$ . Three geometrical quantifiers were calculated, according to the ellipse-fitting technique [57, 58]: the standard deviation of the points calculated along the direction perpendicular to the line-of-identity  $IBI_{n+1} = IBI_n$  (SD1), the standard deviation of the points along the line-of-identity  $IBI_{n+1} = IBI_n$  (SD2), the ratio between SD1 and SD2 (SD12). Other two Poincaré Plot quantifiers were used to minimize the loss of information by accounting also for the points lying outside the ellipse: the mean ( $M_d$ ) and the standard deviation ( $S_d$ ) of the euclidean distances calculated between each Poincaré Plot point and the centroid [56].

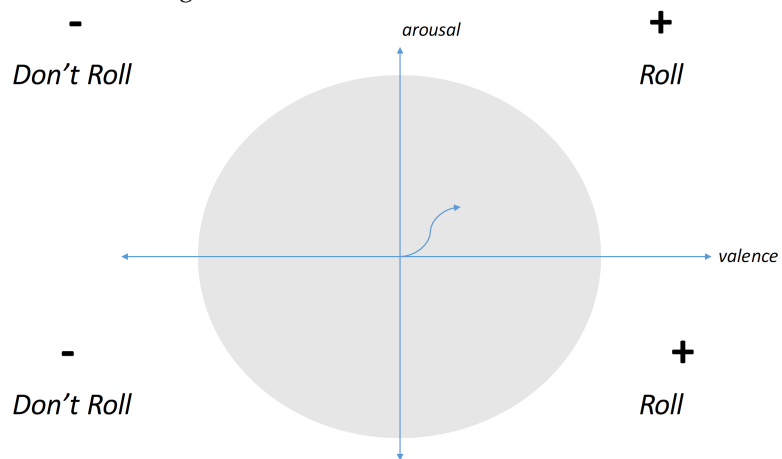
Figure 4: EMOTIONAL STATE OF THE ROBOT



#### 4.4.3 New index from the sympathovagal assessment

Emotions regulation process modulates the sympathovagal balance [59, 60], which is considered a reliable marker of the human affective state. Previous studies have suggested that LF power spectrum can provide a quantitative marker of the sympathetic outflow and have used the LF/HF ratio as a correlate of the sympathovagal balance. However, the LF power is now regarded as a measure of both sympathetic and vagal tone, leading to ambiguities and possible inconsistent conclusions on the use of the LF/HF ratio as sympathovagal marker. In this study, we employed novel indexes of the sympathovagal dynamics based on the combination of the information extracted from the EDA and PRV signal [61]. Indeed, while EDAsymp reliably characterizes the sympathetic activity, there are several cardiovascular features in the time, frequency and nonlinear that reliably quantify the parasympathetic outflow: HF, HFnu, RMSSD, HF%, and SD1. Accordingly, we have estimated the sympathovagal activity combining the EDAsymp with each of the features characterizing the parasympathetic activity building five sympathovagal markers: EDAsymp/HF [61], EDAsymp/HFnu, EDAsymp/RMSSD, EDAsymp/HF%, and EDAsymp/SD1.

Figure 5: DECISION RULE OF THE ROBOT



## INSTRUCTIONS: English translation from Italian

Welcome! This experiment will last about 30 minutes. You will receive 5 Euro for your participation. Based upon the choices you will take in the experiment; you can earn additional money. We now ask you to turn off your mobile phone and to read the instructions carefully.

The aim of this experiment is to study how people take decisions. In particular, this experiment wants to study how people take decision when interacting with a human-like robot.

Should you have any doubt, please do not hesitate to ask clarifications to the experimenter.

**The data related to this experiment will be saved and analyzed anonymously. No video will be recorded.**

In this experiment you will play with FACE i.e. a social robot which is able to prove and express its emotions. [with a computer-box which is given a system of social perception]. FACE [The Computer box] is also able to take its decisions autonomously, following its own behavioral rules. In this game, FACE [The Computer box] is programmed to choose autonomously between two actions: **ROLL** and **DON'T ROLL** a six-faces dice.

[In this experiment you will play with Deborah. Deborah can choose autonomously between two actions: **ROLL** and **DON'T ROLL** a six-faces dice.]

### YOUR CHOICE

You will have to choose between two options: whether to play **IN** or **OUT**.

Should you choose **OUT**, both you and FACE [Computer box] [Deborah] will earn 5 Euro each.

Should you choose **IN**, FACE [Computer box] [Deborah] can then choose between the two options: **ROLL** and **DON'T ROLL** the six-faces dice. In the event FACE [Computer box] [Deborah] choosing **DON'T ROLL**, you will receive 0 Euro and FACE [Computer box] [Deborah] will earn 14 Euro. In the event FACE [Computer box] [Deborah] choosing **ROLL**, FACE [Computer box] [Deborah] will always earn 10 Euro

while you earning depends on the results of dice roll. If the result of the dice roll is a number between 2 and 6 you will earn 12 Euro, otherwise if the result of the dice roll is the number 1 you will receive 0 Euro.

It is important to notice that FACE [Computer box] [Deborah] will not know whether you opted either IN or OUT when has to reach a decision. It is also important to notice that the money earned by FACE will remain to FACE itself [will remain to the lab (e.g. maintenance)], and used for its necessity (e.g. maintenance)

The payments are summarized in the table below.

|                                                          | Dice roll             | You earning | FACE's<br>[Computer box]<br>[Deborah]<br>earning |
|----------------------------------------------------------|-----------------------|-------------|--------------------------------------------------|
| If you choose <b>OUT</b>                                 | -                     | 5Euro       | 5Euro                                            |
| If you choose <b>IN</b><br>FACE choose <b>DON'T ROLL</b> | -                     | 0Euro       | 14 Euro                                          |
| If you choose <b>IN</b><br>FACE choose <b>ROLL</b>       | Result: 1             | 0Euro       | 10 Euro                                          |
|                                                          | Results:<br>2,3,4,5,6 | 12 Euro     | 10 Euro                                          |

Now you have 5 minutes to read these instructions alone and ask clarifications questions to the experiment. Once you have finished reading, the experiment will bring you to another room where FACE [Computer box] [Deborah] is. You will have to seat on the chair in front of face, and in order to begin the experiment you need to raise your right hand. At the point, you will hear a message from FACE [Computer box] [Deborah]. You will then enter your choice in the computer close to you.

Once you have done, we will wait for you to come back again to this room, to fill in a final questionnaire and receive your payment.